

## HilltopSecurities Welcomes Tom Kozlik as Director, Head of Municipal Strategy and Credit

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DALLAS--(BUSINESS WIRE)-- Hilltop Securities Inc. (HTS) announced today that municipal bond strategist Tom Kozlik has joined the firm as director, head of municipal strategy and credit. In his new role, Kozlik will work closely with HilltopSecurities' underwriters, investment bankers, retail advisors, traders, and sales desk. He will also publish regular commentary and be a presence on social and traditional media for the firm.

This press release features multimedia. View the full release here:

<https://www.businesswire.com/news/home/20190429005077/en/>

Tom Kozlik, HilltopSecurities Director, Head of Municipal Strategy and Credit (Photo: Business Wire)

Kozlik brings nearly two decades of experience to the position, most recently with PNC Capital Markets where he served as managing director

and municipal strategist, advising clients on a variety of municipal market credit profiles. Prior to joining PNC, he was managing director and municipal credit analyst at Janney Montgomery Scott. In addition, he has published widely utilized public commentary on the municipal markets. In 2018, Smith's Research and Gradings included Kozlik on the first team in the generalist category of its Municipal All-Star Team.

"As one of the nation's leading investment banks in the municipal space, we are very pleased to welcome Tom Kozlik to HilltopSecurities," said HilltopSecurities President and Chief Executive Officer Brad Wings. "His extensive industry experience and unique market insight will enhance the services we provide across our diverse mix of businesses. Tom will be an important asset for our public finance, fixed income, and retail brokerage professionals and clients."

In addition to his roles with PNC and Janney, Kozlik has also held associate director positions with Bear Stearns and UBS. He was voted to Institutional Investor's All-America Fixed Income Research Team and Greenwich Associates named him one of the Most Helpful Analysts in Municipal Bonds in 2015. In addition, he is a founding officer and former president of the Philadelphia Area Municipal Analysts Society and is an advisor to the Government Finance Officers Association's committee on government debt management. Kozlik has also taught graduate-level public finance courses at the University of Pennsylvania's Fels Institute of Government, where he earned a Master of Government Administration. He earned a bachelor's degree in political science with honors from Pennsylvania State University Scholars program.

## About Hilltop Securities Inc.

Hilltop Securities Inc. delivers forthright advice and tailored solutions for municipal issuers, institutions, broker-dealers, and individuals. The financial services firm and registered investment adviser is headquartered in Dallas, Texas, with offices across the United States. Areas of focus include public finance; municipal and taxable fixed income underwriting, sales, and trading; equity and portfolio trading; retail brokerage services; securities clearing; structured finance; and securities lending. A wholly owned subsidiary of Hilltop Holdings Inc. (NYSE: HTH), Hilltop Securities' affiliates include Hilltop Securities Independent Network Inc., PlainsCapital Bank, PrimeLending, and National Lloyds Corporation. Learn more at [www.HilltopSecurities.com](http://www.HilltopSecurities.com). Member: NYSE/FINRA/SIPC.

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