



NEWS RELEASE

Sophisticated Resources to Support High-Net-Worth Clients Draws Advisor with \$100 Million to Ameriprise Team

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Scott Guptill, CFA was seeking to elevate his client experience and found the right fit with Q5 Wealth Management, a top team with Ameriprise

MINNEAPOLIS--(BUSINESS WIRE)-- **Q5 Wealth Management**, a team of five financial advisors managing \$1.5 billion in client assets in Houston and Beaumont, Texas, recently expanded with the addition of financial advisor **Scott Guptill, CFA**. Q5 joined the independent channel of Ameriprise Financial, Inc. (NYSE: AMP) in August 2024 after a successful transition from UBS Financial Services, Inc. with a desire to significantly grow their practice by leveraging the sophisticated resources at Ameriprise. Less than three months later, the team is capitalizing on the opportunities at Ameriprise with the addition of Guptill, who manages \$100 million in client assets in Houston. He was previously registered with Morgan Stanley.

Q5 Wealth Management, Powered by Ameriprise Financial. (Graphic: Business Wire)

Guptill carefully evaluated his practice goals for growth and decided to join a team and firm with the capabilities to elevate his high-net-worth clients' experiences while creating efficiencies. Guptill was acquainted with financial advisors **Mike** and **Ed Persia** with Q5 for years. When Q5 moved to Ameriprise for similar reasons, Guptill took note of their successful transition and saw a compelling opportunity to join forces with them.

"Q5 is a world class team that is known for putting their clients first while making them feel special and confident in their ability to achieve their goals," said **Scott Guptill**. "We have similar values and growth goals. When I saw

them move to Ameriprise, I was captivated by how quickly they were able to leverage Ameriprise's innovative and integrated digital capabilities to better serve their clients. I'm looking forward to our future together."

Reflecting on the addition, **Mike Persia** said, "We want to grow our footprint and provide more life-changing financial advice to high-net-worth clients across the country. We're pleased with our decision to move firms and combine with Scott because he runs an elite business and shares our vision of providing concierge-level service to clients."

"It's an honor to welcome Scott to Q5 Wealth Management and the firm," said Ameriprise Field Vice President **Logan Clipp**, who supports the team. "Q5 is off to a tremendous start with us, and it's inspiring to support their growth. This is the heart of what Ameriprise is all about: helping advisors grow their practices at impressive rates through enhancing the experience and advice they're delivering to clients."

Ameriprise Regional Vice President **Tres Rouquette** also supports the team.

Q5 includes financial advisors **Omar Bitar**, **Jeremy Saba**, **Mike Persia**, **Ed Persia**, and **Brad Klein**, as well as investment specialists **Kevin Wagner** and **Ashley Carter**, client service managers **Sherri Thompson**, **Brandy Head** and **Taryn King**, and client concierge **Dena McNiel**.

Ameriprise has continued to attract experienced, productive financial advisors, with more than 400 advisors moving their practices to Ameriprise in 2023 and approximately 1,700 joining the firm in the last 5 years. ¹ To find out why experienced financial advisors are joining Ameriprise, visit ameriprise.com/why.

About the Ameriprise Ultimate Advisor Partnership

The Ameriprise **Ultimate Advisor Partnership** offers a differentiated experience for advisors that helps them accelerate growth while delivering an excellent client experience. Combined with the company's culture of support and independence, the Ultimate Advisor Partnership enables advisors to scale their businesses, deepen client relationships and drive referrals for future growth.

About Ameriprise Financial

At **Ameriprise Financial**, we have been helping people feel confident about their financial future for 130 years. With extensive investment advice, asset management and insurance capabilities and a nationwide network of approximately 10,000 financial advisors ², we have the strength and expertise to serve the full range of individual and institutional investors' financial needs.

Ameriprise Financial cannot guarantee future financial results.

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¹ Ameriprise Financial 2023 10-K.

² Ameriprise Financial Q2 2024 Earnings Release.

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