

Ameriprise Financial

Ameriprise Financial is a diversified financial services firm with a 130-year legacy of helping clients achieve their financial goals. With extensive investment advice, wealth management, asset management and insurance capabilities, the company serves the full range of individual and institutional investors' financial needs.

Consistent with the company's priority to meet clients' financial needs, the company has an important interest in advocating within the legislative and regulatory process for laws and regulations that help investors achieve financial security.

The following is a summary of the company's related policies, practices and priorities.

Corporate Political Contributions

Ameriprise Financial does not make corporate contributions in the U.S. at the federal, state or local level to candidates for public office, political party committees, or political action committees, even when permitted to do so by law. The company's [Statement of Principles Governing Corporate Political Spending](#) expressly prohibits the use of corporate funds for the purpose of electing or defeating a clearly identified candidate for federal, state, or local political office.

In the U.S., the company is affiliated with the Ameriprise Financial Political Action Committee (the AmeriprisePAC), a federal political action committee registered with the Federal Election Commission (FEC). AmeriprisePAC is funded solely through voluntary employee and eligible advisor contributions. Ameriprise does not sponsor any state or local political action committees. AmeriprisePAC's political contributions are reported to the Federal Election Commission and are publicly available at: www.fec.gov/data.

Lobbying Activities

Ameriprise Financial recognizes that laws and regulations impact every aspect of the company's operations and ability to serve clients fully. Accordingly, the company believes it is important to monitor, evaluate and engage effectively in the policy process in the multiple jurisdictions in which we operate. The Government Relations Department, under the oversight of the General Counsel, coordinates with subject matter experts across the business to identify and manage legislative and regulatory priorities and issues of interest to the company, advisors, clients and shareholders.

The company discloses all U.S. federal lobbying costs and the issues to which they relate pursuant to applicable federal and state laws. The U.S. lobbying reports are publicly available at [Home | Lobbying Disclosure \(senate.gov\)](#). For the year ending December 31, 2024, Ameriprise Financial's federal lobbying costs totaled \$1,090,000. This total includes the portion of trade

association dues that are attributable to federal and state lobbying.¹ For the same period, the company incurred \$272,070 in costs attributable to state lobbying.

Trade Associations

Ameriprise Financial participates in a number of industry groups and trade associations representing the interests of relevant sectors of the financial services industry that align to its diversified financial services business model, as well as in groups representing the interests of the broader business community. The company believes participation in these organizations advances durable public policy, supports the professional development of employees, and assists Ameriprise in monitoring and preparing for changes to federal and state legislation and regulation. The company reviews these memberships on a regular basis and informs industry groups of our corporate policy prohibiting the use of industry dues for political activities; these groups acknowledge this policy and indicate their compliance annually.

In 2024, Ameriprise Financial did not pay dues, assessments or contributions to any tax-exempt organizations in the U.S. that are primarily organized to write, endorse, or promote model legislation.

The following page lists industry groups and trade associations for which the company pays \$25,000 or more in annual dues, assessments, or contributions.

¹ The lobbying data disclosed here is consistent with the report required under the Lobbying Disclosure Act. Under Internal Revenue Code section (IRC) 162(e), the lobbying costs and lobbying portion of trade associations dues that is disclosed to the firm are not deductible for tax purposes.

2024 AMERIPRISE INDUSTRY ASSOCIATION DUES		
Annual Dues, Assessments and Contributions of \$25,000 or more to Industry Associations		
Organization	Lobbying* (\$)	Total Dues (\$)
American Bankers Association	8,688	115,843
American Benefits Council	7,560	28,000
American Council of Life Insurers	47,746	760,200
American Securities Association	20,250	135,000
Association of California Life and Health Insurers	3,750	25,000
Bond Dealers Association	3,750	25,000
The Business Roundtable	72,000	300,000
Committee of Annuity Insurers	208	43,885
Compliance & Ethics Forum for Life Insurers	-	44,000
Consumer Bankers Association	28,603	53,967
Employee Benefits Research Institute	-	32,000
Greater Boston Chamber of Commerce	3,000	30,000
International Swaps and Derivatives Association	327	25,143
Investment Advisor Association	2,600	26,000
Investment Company Institute	-	944,691
Life Insurance Council of New York	8,400	33,600
LIMRA / LOMA	-	304,769
Massachusetts High Tech Council	8,750	35,000
Minneapolis Downtown Council	1,312	26,235
Minnesota Business Partnership	9,767	39,066
Minnesota Chamber of Commerce	10,184	25,460
Minnesota Insurance and Financial Services Council	44,550	67,500
Money Management Institute	-	65,000
Securities Industry & Financial Markets Association & AMG	153,829	932,296
U.S. Chamber of Commerce	110,000	275,000

* Non-deductible portion of dues computed pursuant to I.R.C. §162(e) and Treas. Reg. §1.162-29

International	
Association of Luxembourg Fund Industry	48,991 (EUR)
European Fund & Asset Management Association	45,249 (EUR)
The Investment Association	542,660 (GBP)
Pensions and Lifetime Savings Association	128,203 (GBP)
Pension Policy Institute	92,251 (GBP)

Ameriprise Financial, Inc. Corporate Political Spending Report

For the period January 1, 2024, through December 31, 2024			
<u>Contributions to State and Local Candidates</u>			
Name of Recipient	State	Amount	Date
NONE			

For the period January 1, 2024, through December 31, 2024			
<u>Contributions to State and Local Political Parties, Committees, and Organizations</u>			
Name of Recipient	State	Amount	Date
No on 118 – Ballot Opposition	Oregon	\$25,000	10/18/2024