

Ameriprise Financial

Ameriprise Financial is a diversified financial services firm with more than a 130-year legacy of helping clients achieve their financial goals. With extensive investment advice, wealth management, asset management and insurance capabilities, the company serves the full range of individual and institutional investors' financial needs.

Consistent with the company's priority to meet clients' financial needs, the company has an important interest in advocating within the legislative and regulatory process for laws and regulations that help investors achieve financial security.

The following is a summary of the company's related policies, practices and priorities.

Corporate Political Contributions

Ameriprise Financial does not make corporate contributions in the U.S. at the federal, state or local level to candidates for public office, political party committees or political action committees, even when permitted to do so by law. The company's [Statement of Principles Governing Corporate Political Spending](#) expressly prohibits the use of corporate funds for the purpose of electing or defeating a clearly identified candidate for federal, state or local political office.

In the U.S., the company is affiliated with the Ameriprise Financial Political Action Committee (the AmeriprisePAC), a federal political action committee registered with the Federal Election Commission (FEC). AmeriprisePAC is funded solely through voluntary employee and eligible advisor contributions. Ameriprise Financial does not sponsor any state or local political action committees. AmeriprisePAC's political contributions are reported to the Federal Election Commission and are publicly available at: www.fec.gov/data.

Lobbying Activities

Ameriprise Financial recognizes that laws and regulations impact every aspect of the company's operations and ability to serve clients fully. Accordingly, the company believes it is important to monitor, evaluate and engage effectively in the policy process in the multiple jurisdictions in which we operate. The Government Affairs Department, under the oversight of the General Counsel, coordinates with subject matter experts across the business to identify and manage legislative and regulatory priorities and issues of interest to the company, advisors, clients and shareholders.

The company discloses all U.S. federal lobbying costs and the issues to which they relate pursuant to applicable federal and state laws. The U.S. lobbying reports are publicly available at [Home | Lobbying Disclosure \(senate.gov\)](#). For the year ending December 31, 2025, Ameriprise Financial's federal lobbying costs totaled \$1,422,990.20. This total includes the portion of trade

association dues that are attributable to federal and state lobbying.¹ For the same period, the company incurred \$359,827.00 in costs attributable to state lobbying.

Trade Associations

Ameriprise Financial participates in a number of industry groups and trade associations representing the interests of relevant sectors of the financial services industry that align to its diversified financial services business model, as well as in groups representing the interests of the broader business community. The company believes participation in these organizations advances durable public policy, supports the professional development of employees, and assists Ameriprise Financial in monitoring and preparing for changes to federal and state legislation and regulation. The company reviews these memberships on a regular basis and informs industry groups of our corporate policy prohibiting the use of industry dues for political activities.

In 2025, Ameriprise Financial did not pay dues, assessments or contributions to any tax-exempt organizations in the U.S. that are primarily organized to write, endorse or promote model legislation.

The following page lists industry groups and trade associations for which the company pays \$25,000 or more in annual dues, assessments or contributions.

¹ The lobbying data disclosed here is consistent with the report required under the Lobbying Disclosure Act. Under Internal Revenue Code section (IRC) 162(e), the lobbying costs and lobbying portion of trade associations dues that is disclosed to the firm are not deductible for tax purposes.

2025 AMERIPRISE INDUSTRY ASSOCIATION DUES		
Annual Dues, Assessments and Contributions of \$25,000 or more to Industry Associations		
Organization	Lobbying* (\$)	Total Dues (\$)
American Bankers Association	6,474	117,703
American Benefits Council	7,560	28,000
American Council of Life Insurers	62,564	603,307
American Securities Association	26,250	175,000
Association of California Life and Health Insurers	3,750	25,000
Bond Dealers of America	3,975	26,500
The Business Roundtable	135,000	300,000
Committee of Annuity Insurers	595	28,828
Compliance & Ethics Forum for Life Insurers	-	44,000
Consumer Bankers Association	26,600	47,500
Employee Benefits Research Institute	-	32,000
Greater Boston Chamber of Commerce	3,105	31,050
International Swaps and Derivatives Association	327	25,143
Investor Advisor Association	2,900	29,000
Investment Company Institute	-	973,733
Life Insurance Council of New York	7,982	30,700
LIMRA / LOMA	-	321,721
Massachusetts High Technology Council	13,300	35,000
Minneapolis Downtown Council	1,351	27,020
Minnesota Business Partnership	9,767	39,066
Minnesota Chamber of Commerce	10,490	26,225
Minnesota Insurance and Financial Services Council	44,550	67,500
Money Management Institute	-	80,000
National Association of Plan Advisors	6,570	36,500
Securities Industry and Financial Markets Association	191,198	1,158,773
U.S. Chamber of Commerce	120,000	300,000

* Non-deductible portion of dues computed pursuant to I.R.C. §162(e) and Treas. Reg. §1.162.29

International		
Association of Luxembourg Fund Industry		46,781 (EUR)
European Fund & Asset Management Association		42,628 (EUR)
The Investment Association Services Ltd.		408,955 (GBP)
Pensions and Lifetime Saving Association		59,778 (GBP)

Ameriprise Financial, Inc. Corporate Political Spending Report

For the period January 1, 2025, through December 31, 2025			
Contributions to State and Local Candidates			
Name of Recipient	State	Amount	Date
NONE			

For the period January 1, 2025, through December 31, 2025			
Contributions to State and Local Political Parties, Committees, and Organizations			
Name of Recipient	State	Amount	Date
NONE			